



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,301	04/24/2001	Harold J. Vinegar	5659-01800 TH1942

CONFIRMATION NO. 4730

FORMALITIES LETTER



OC000000006224725

DEL CHRISTENSEN
 SHELL OIL COMPANY
 P.O. BOX 2463
 HOUSTON, TX 77252-2463

Date Mailed: 06/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2001 MWHOLDER1 00000167 501505 09841301

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 CH
02 FC:103	1080.00 CH
03 FC:105	130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$110510.
 - \$96750 for 5375 total claims over 20.
 - \$13760 for 172 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 111350.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED



Sector

**PATENT
TH1942**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wellington, et al.

Serial No.: 09/841,301

Filed: April 24, 2001

For: IN SITU THERMAL PROCESSING
OF A HYDROCARBON
CONTAINING FORMATION
WITH A SELECTED HYDROGEN
CONTENT

§ Examiner: Unknown

§

§ Group Art Unit: 3672

§

§ Atty. Docket. No.: 5659-01800/EBM

§

§

§ CERTIFICATE OF MAILING
37 C.F.R. § 1.8-

§ I hereby certify that this correspondence is being deposited with
§ the U.S. Postal Service as First Class Mail in an envelope
§ addressed to: Commissioner for Patents, Attn: BOX MISSING
§ PARTS, Washington, D.C. 20231, on the date indicated below.

9/20/01

Date

Elda Gonzalez

Elda Gonzalez

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED, MAILED JUNE 25, 2001

ATTN: Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of
Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (3) Originally executed Assignment (3 pages);
- (4) Originally executed Declaration (4 pages);
- (5) Preliminary Amendment (51 pages);
- (6) Marked Up Version of Amendments (42 pages);
- (7) Marked Up Version of Amendments to Claims (1 page);



Wellington, et al.
09/841,301

- (8) Clean Version of Title Page (1 page);
- (9) Marked Up Version of Title Page (1 page);
- (10) Fee Authorization in the amount of \$2,070.00 to cover the filing fee for a small entity as follows (2 pages):
 - \$ 710.00 -- basic filing fee;
 - \$ 1,080.00 -- surcharge for excess claims;
 - \$ 130.00 -- surcharge for late filing of declaration and fee;
 - \$ 40.00 -- assignment recordation fee;
 - \$ 110.00 – one month extension of time fee; and
- (11) A return postcard.

Applicant respectfully requests a one-month extension of time to respond to the formalities letter mailed June 25, 2001. A fee authorization that includes \$110.00 for the one-month extension of time fee is enclosed.

If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon P.c. Deposit Account Number 50-1505/5659-01800.

Respectfully submitted,

David W. Quimby
Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 476-1400 (voice)
(512) 703-1250 (facsimile)

Date: Sept. 20, 2001

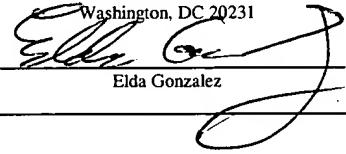


PATENT
TH1942

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/841,301
Filed: April 24, 2001
Inventor(s):
Wellington, et al

§ Examiner: Unknown
§ Group/Art Unit: 3672
§ Atty. Dkt. No: 5659-01800
§

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
DATE OF DEPOSIT: <u>9/20/01</u>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Washington, DC 20231	
 Elda Gonzalez	

Title: IN SITU THERMAL
PROCESSING OF A
HYDROCARBON
CONTAINING
FORMATION WITH A
SELECTED HYDROGEN
CONTENT

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Shell Oil Co.
Deposit Account Number 19-1800:

\$ 710.00 – Basic Filing Fees;
\$1,080.00 – Excess Claims Fees;
\$ 130.00 – Surcharge for late filing of declaration and fee;
\$ 40.00 – Assignment Recordation Fee; and
\$ 110.00 – one month extension of time fee;

Total Amount: \$2,070.00

Attorney Docket No.: 5659-01800



Wellington, et al.
09/841,301

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley Rose & Tayon P.C. Deposit Account Number 50-1505/5659-01800/EBM.

Respectfully submitted,

A handwritten signature in black ink that reads "David W. Quimby".

David W. Quimby
Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
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(512) 703-1254 (voice)
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Date: Sept. 20, 2001